

Ati Leadership Proctored Test Bank 2013

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the go to guide to acing the series 62 exam passing the corporate securities limited representative exam series 62 qualifies an individual as a representative for the sale of public offerings and or private placements of corporate

securities rights warrants closed end funds money market funds reits asset backed securities mortgage backed securities and more topics covered on the exam include characteristics of securities and investments the market for corporate securities evaluation of securities and investments and handling customer accounts and securities industry regulation created by the experts at the securities institute of america inc wiley series 62 exam review 2013 arms you with everything you need to pass this challenging 120 question multiple choice test designed to help you build and fine tune your knowledge of all areas covered in the exam and to guarantee that you re prepared mentally and strategically to take the test it provides dozens of examples assorted practice questions for each subject area covered in the exam priceless test taking tips and strategies helpful hints on how to study for the test manage stress and stay focused wiley series 62 exam review 2013 is your ticket to passing the series 62 test on the first try with flying colors visit wileysecuritieslicensing.com for more information the securities institute of america inc helps thousands of securities and insurance professionals build successful careers in the financial services industry every year our securities training options include onsite classes private tutoring classroom training interactive online video training classes state of the art exam preparation software printed textbooks real time tracking and reporting for managers and training directors as a result you can choose a securities training solution that matches your skill level learning style and schedule regardless of the format you choose you can be sure that our securities training courses are relevant tested and designed to help you succeed it is the experience of our instructors and the quality of our materials that make our courses requested by name at some of the largest financial services firms in the world to contact the securities institute of america visit us on the web at securitiesce.com or call 877 218 1776

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registered options principal qualification examination the registered options principal qualification examination series 4 is designed to test a candidate s knowledge of the rules and statutory provisions applicable to the supervisory management of a firm s options personnel and options accounts after passing the series 4 exam a registered person may supervise a finra member firm s option business and is qualified to oversee trades on the following instruments equity options foreign currency options interest rate options index options options on government and mortgage backed securities each book is accompanied by an online test bank with 68 questions organized by chapter the questions included in the book have been included in this online test bank topics covered options investment strategies supervision of sales activities and trading practices supervision of employees business conduct and recordkeeping and reporting requirements test 125 multiple choice questions 3 hours testing time a candidate must answer 70 of the questions correctly to pass the series 4 exam pre requisites the series 7 17 37 38 42 or 62 can be used to meet

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